

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	YDREXN
MANUALRISKSCORE	35
TOTAL RISK SCORE	35
RISK LEVEL	Medium
COMPLIANCE ADVANTAGE	0
HITS	0
COMPLIANCE ADVANTAGE LINK	Compliance Advantage Link
VERIFICATION LINK	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO JUMIO	3
	Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Korea (South)	0
11	Citizenship on the document	Korea (South)	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone for instance, free economic zone, offshore jurisdiction?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (more or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (more or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income, loan / Credit	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment income, Business profits	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
21	Let us know what your level of experience is with cryptocurrency trading? Please select one:	< 10 000	0
22	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public function in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Korea (South)	0
23		Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment	DATE & TIME	FULL NAME	ROLE	COMMENT
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6/9/2021 12:51:05 PM

Based on the KYC response, the Customer is Medium Risk considering the following risk factors:

- Citizenship on the document is Korea (South) [25]
- Occupation or business activity is Technology and communications-information technology [5]
- Selected service includes Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment [5]

Screening is performed against the Customer. There is no hit against the searched name.

The Customer provided a validated ID document and passed Jumo but the ID document cannot be shown on the admin panel due to the technical issue.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the Initial AML CTF Assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk. [RISK SCORE:35].

INTERNAL ID2123PFC

MANUAL SCORE51

TOTAL RISK SCORE51

RISK LEVELMedium

COUNTRY ADVANTAGE0

VERIFICATIONStatus: Pending

APPLICATION STATUSApproved

CATEGORYApproved By: Customer

NUMBER OF ATTEMPT TO JUMP3

Score

Country Advantages Link

Score: 51 (Medium Risk)

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications - information technology	0
5	Please tell us about business you may conduct in other country's besides where you are registered for tax purposes?	No	5
6	Is your individual activity or business registered or conducted in preferred tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is recorded in cash.	0-10k (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is recorded in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your sources of wealth. Select more than one box if necessary.	Salary, business income	0
10	Please tell us about your sources of wealth. Select more than one box if necessary.	Employment income	0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, long term investment, participate in initial exchange offering	0
12	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	15
13	Please tell us which countries the funds will be received and/or transferred from.	China	0
14	Let us know what your level of experience is with cryptocurrency trading? Please select one	Beginner	0
15	How many years of experience do you have in crypto trading?	< 1 Year	0
16	Are you an immediate family member or a close associate, entrusted, or have been entrusted, with government public functions in any country or international institution? This could be a public official, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

After 3 attempts

Add Comment

DATE & TIME	FULL NAME	NOTE	COMMENT
6/2/2021 4:16:03 PM			Based on the KYC responses, the Customer is Medium Risk considering the following risk factors: Citizenship of China (31), fund being transferred from China (15) and Occupation of IT (0). Screening is performed against the Customer. There are 7 hits against the searched names. In detail, they are false possible hits disclosed by Full Name: Wei March. Overall, KYC Onboarding Analysis: [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboarding the Customer with Medium Risk - 51 points.

INTERNAL ID	VALUREX
MANUALRISKSCORE	0
TOTAL RISK SCORE	50
RISK LEVEL	Medium
COMPLYADVANTAGE	0
HITS	
COMPLYADVANTAGE LINK	ComplyAdvantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	5
JUNIO	Reset

Risk Score Update History

DATE & TIME	FILE NAME	ROLE	RISK SCORE	RISK LEVEL
5 2022 6 29 24 AM	Auto (System)	Auto (System)	50	Medium

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
0	Place of birth	China	0
1	Citizenship on the document	China	25
2	Please tell us about your current employment status.	Self-employed	5
3	Please tell us about your occupation or business activity.	Technology and communications-Telecommunication	5
4	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (income or little of my turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (income or little of my turnover is in cryptocurrency)	0
8	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income, Savings	0
9	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Participate in Initial Exchange Offering	0
11	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	China	15
13	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
14	How many years of experience do you have in crypto trading?	< 1 YEAR	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	NO	0

Comment

ADD A COMMENT...

DATE & TIME	FILE NAME	ROLE	COMMENT
28 2022 9 59 57 AM			Manual checking required: a. Full Name matches with Junio record b. DOB matches with Junio record c. Full address provided d. Origin of Funds from US Canada Japan - Nil e. No connection to any Unsupported Country f. Discounting of Screening Hits - zero English and Chinese name search hit. Based on New Business Committee's guidance on Oct 1 2021, it is agreed to onboard the Customer with China residency with Medium risk (50). Full address requested #208642
2 25 2022 12 28 45 PM			

INTERNAL ID

EB8N37

MANUAL RISK SCORE

35

TOTAL RISK SCORE

35

RISK LEVEL

Medium

COUNTRY ADVANTAGE

0

LINK

[Complete Advantage Link](#)

VERIFICATION

Auto-Approved

APPLICATION STATUS

Save & inform user

CATEGORY

NUMBER OF ATTEMPT TO

4

STATUS

Reset

Risk Score Update History

DATE & TIME	ROLL NAME	ROLL	RISK SCORE	RISK LEVEL
10/20/23 6:20:42 PM	Auto (System)	Auto (System)	35	Medium

QYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	25
3	Please tell us about your current employment status.	Self-employed	5
4	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	没有，不在其他国家/地区经营	0
5	Please tell us about business you may conduct in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Is your individual activity or business registered or conducted in cash?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cash)	0
8	Please tell us about your sources of income (funds). Select more than one box if necessary.	0-10k (none or little of my turnover is in cryptocurrency)	0
9	Please select the service(s) you plan to use. Select more than one box if necessary.	Salary / business income, Savings	0
10	How much do you plan to deposit per year (USD and crypto combined)?	Employment income, Business profits	5
11	Let us know what your level of experience is with cryptocurrency trading? Please select one	Crypto Trading, Long term investment, Participate in initial exchange offering, Crypto payment	0
12	How many years of experience do you have in crypto trading?	< 10 000	0
13	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0

Comment

ADD A COMMENT...

Add Comment

DATE & TIME

11/17/2023 5:58:23 AM

ROLL NAME

[REDACTED]

ROLL

Chinese input of Q13 is Media, Art Design - Freelance 3D Animator, China ID provided can be used as POA.

COMMENT

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses Withdrawal Fees **Risk Scoring**

Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	PRCCGA
MANUAL SCORE	0
TOTAL RISK SCORE	45
RISK LEVEL	Medium
COMPL ADVANTAGE	0
HITS	0
COMPL ADVANTAGE LINK	Copy Advantage Link
VERIFICATION	Auto-Approved
APPLICATION STATUS	Auto-Approved
CATEGORY	▼ Show All Referral Links
NUMBER OF ATTEMPT TO	1
JUMBO	Reject

RYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	25
3	Please tell us about your current employment status.	China	0
4	Please tell us about your occupation or business activity.	Employed	0
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	Health and wellness-Health care	5
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your sources of income/funds. Select more than one box if necessary.	Salary / business income, Savings inheritance, Employment income	0
10	Please tell us about your sources of wealth Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering	0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	10 000 100 000	15
12	How much do you plan to deposit per year (USD and crypto combined)?	China	0
13	Let us know what your level of experience is with cryptocurrency trading? Please select one	Beginner	0
14	How many years of experience do you have in crypto trading?	< 1 Year	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

INTERNAL ID: CXCAC

MANUAL RISK SCORE: 0

TOTAL RISK SCORE: 41

RISK LEVEL: Medium

HITS: 0

COMPLY ADVANTAGE: 0

LINK: [Comply Advantage Link](#)

VERIFICATION STATUS: Approved

CATEGORY: Approved By Compliance

NUMBER OF ATTEMPT TO: 1

REMARK: Risker

Save & Inform User

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income	0
10	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, long term investment, Crypto payment	5
12	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0
13	Please tell us which countries the funds will be received and/or transferred from.	Hong Kong	0
14	Let us know what your level of experience is with cryptocurrency trading? Please select one	Beginner	0
15	How many years of experience do you have in crypto trading?	< 1 Year	0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
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5/18/2021 8:30:14 AM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

The citizenship of customer is China, claimed as employed and engaged in technology and communications-information technology, and the purposes of opening account were Crypto Trading, long term investment and Crypto payment.

Screening is performed against the customer. There are 7 hits against the searched names. The hits are identified as false hit since either the name or age of persons mentioned in the hits are not matched with the customer's profile and no evidence shows that customer has direct linkage with the company mentioned in the hit.

Email has been sent to the customer due to his country of residency.

Overall, KYC Onboarding Analyst, [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (M).

INTERNAL ID

MANUALRISKSCORE

TOTAL RISK SCORE

RISK LEVEL

HITS

COMPL/ADVANTAGE

LINK

VERIFICATION STATUS

CATEGORY

NUMBER OF ATTEMPT TO

JUNIO

NCV3XMI

0

50

Medium

0

[Compl/Advantage Link](#)

Approved

Approved By Compliance

3

Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
27 2023 1:25:41 PM	Auto (System)	Auto (System)	50	Medium
27 2023 1:19:26 PM	Auto (System)	Auto (System)	30	Low
27 2023 1:19:15 PM	Auto (System)	Auto (System)	30	Low

NYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
11	Place of Birth	China	0
12	Citizenship on the document	China	25
13	Please tell us about your current employment status.	Employed	0
14	Please tell us about your occupation or business activity.	Other services-Financial services	5
15	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
16	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
17	Please tell us about your annual turnover in individual or business activity, which is handled in cash.	60-90% (most of my turnover is in cash)	0
18	Please tell us about your annual turnover in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
19	Please tell us about your source(s) of income/ funds. Select more than one box if necessary.	Salary / business income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment income	5
21	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	15
22	Please tell us which countries the funds will be received and/or transferred from.	< 10 000	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	China	0
24	How many years of experience do you have in crypto trading?	Beginner	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

2 2 2023 3:39:17 PM

Manual checking required
a. Full Name matches with Junio record
b. DOB matches with Junio record
c. Full address provided and matched with ID which we accepted at valid POA.
d. Origin of Funds from US, Canada, Japan - Nil
e. No connection to any Unsupported Country
f. Discounting of Screening Hits - zero English and Chinese name search hit.
Based on New Business Committee's guidance on Oct 1 2021, it is agreed to onboard the Customer with China residency with Medium risk (50).

INTERNAL ID	HEROONK
MANUAL RISK SCORE	0
TOTAL RISK SCORE	50
RISK LEVEL	Medium
COUNTRY/ADVANTAGE	0
HITS	0
COMPLY/ADVANTAGE LINK	Compliance Link
VERIFICATION STATUS	Approved
CATEGORY	Approved by Compliance
NUMBER OF ATTEMPT TO	2
STATUS	Reset

Risk Score Update History

DATE & TIME	AUTO (System)	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
7/7/2021 8:59:25 AM	Auto (System)			50	Medium

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
12	Place of birth	China	25
12	Citizenship on the document	China	0
12	Please tell us about your current employment status.	Employed	5
12	Please tell us about your occupation or business activity.	Technology and communications - information technology	0
12	Please tell us about your occupation or business activity.	No	0
12	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
12	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% (none or little of my turnover is in cash)	0
12	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cryptocurrency)	0
12	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary Business Income, Investments, Cryptocurrency trading	5
12	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Employment income	0
12	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Crypto Trading, Long term investment	0
12	Please select the service(s) you plan to use. Select more than one box if necessary.	10 000 100 000	0
12	How much do you plan to deposit per year (USD and crypto combined)?	China	15
12	Please tell us which countries the fund will be received and/or transferred from.	China	0
12	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Intermediate	0
12	How many years of experience do you have in crypto trading?	3 - 5 Years	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
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11/4/2021 5:53:08 PM

Based on the KYC responses, the Customer is considered Medium Risk. Screening is performed against the Customer. There are 4 hits against the searched name. All hits can be discounted due to name mismatch.

Validated with ID document the full name is consistent with customer submission. The original status "full name differs" can be discounted.

Date of birth has been remediated, now the DOB captured in the admin panel aligns with the ID document provided. The status "DOB differs" can be discounted.

Based New Business Committee's guidance on Oct 1 2021, it is agreed to onboard the Customer with China residency.

Based New Business Committee's guidance on 19 Aug 2021, the case has passed the Simplified Due Diligence (SDD). KYC Onboarding Analysis is of the view that the initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard this Customer with Medium Risk (Risk Score: 50).

INTERNAL ID 6816435
MANUAL RISK SCORE 56
TOTAL RISK SCORE 56
RISK LEVEL Medium
COMPLY ADVANTAGE 0
HITS
COMPLY ADVANTAGE LINK
LINK
VERIFICATION Approved
APPLICATION STATUS Approved
CATEGORY Approved By Compliance
NUMBER OF ATTEMPTS TO 4
JUMBO Reset

RVC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	3.1
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% none or little of my turnover is in cash	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% none or little of my turnover is in cryptocurrency	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary Business income, Savings, Loan / Credit	0
9	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, Employment income	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
11	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	China Switzerland	1.5
13	Let us know what your level of experience is with cryptocurrency trading. Please select one:	Beginner	0
14	How many years of experience do you have in crypto trading?	< 1 Year	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment
Add a comment...

Add Comment

DATE & TIME

ROLE NAME

ROLE

COMMENT

15/5/2021 9:35:06 AM

Based on the RVC responses, the Customer is Medium Risk considering the following risk factors:

1. Citizenship on the document is China
2. Occupation or business activity is Technology and communications-information technology
3. Selected services include Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment
4. Funds will be received and/or transferred from China & Switzerland

Screening is performed against the Customer. There is no hit against the searched English name. There are 2 hits against the searched Chinese name.

All Hits can be discounted due to name mismatch.

Notification email has been sent to the Customer due to his country of residency in China

Overall, RVC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (RISK SCORE 56).

INTERVAL ID	C121020
UNIQUEIDPREFIX	67
NAME	67

PORTAL WITH ACCESS	55
ROCK LIVES	10/20/09
COMPARISON	21

1875
COMPLAINT
LAW
MAGISTRATE

APPROVAL CATEGORY	APPROVAL	APPROVAL STATUS
Approved by Completion	Yes, it is done so	

NUMBER OF ATTEMPTS TO SUCCEED	SCORE
1	100
2	80
3	60
4	40
5	20
6	10
7	5
8	0

DATE & TIME	FILE NAME	FILE	FILE SIZE
11			1000000

Questionnaire

2022-6-21 10:06 AM

2/8

Aditi Chakrabarti

QUESTION	ANSWER
QUESTION: What is the main purpose of the study?	ANSWER: To determine the effect of the intervention on the outcome.
QUESTION: What is the study design?	ANSWER: A randomized controlled trial.
QUESTION: What is the population?	ANSWER: A sample of 100 participants.
QUESTION: What is the intervention?	ANSWER: A new treatment.
QUESTION: What is the control?	ANSWER: A standard treatment.
QUESTION: What is the outcome?	ANSWER: The rate of improvement.
QUESTION: What is the conclusion?	ANSWER: The intervention is effective.

	11	12
<p>1. To develop a new document</p> <p>2. Please tell us about your current employment status.</p>	<p>1. To develop a new document</p> <p>2. Please tell us about your current employment status.</p>	<p>1. To develop a new document</p> <p>2. Please tell us about your current employment status.</p>

Please tell us about your **extraordinary** experience. Please tell us about anything you were involved in either (eventually) leading you to any important life decisions, or about anything you were involved in that was a particularly important, interesting, or otherwise kind of special experience. Please tell us about anything you were involved in that was a particularly important, interesting, or otherwise kind of special experience.

Please tell us about your annual family income (in US dollars). Please tell us about your annual earnings, as reported on federal tax activity which is included on your tax return. Please tell us about your sources of income (wages, salary, investment income, etc.).

Employment record
 Crystal Trading, Hong Kong, Incorporated, *Assistant to metal trading Officers, Crystal planet*
 1-2-2001-10-20-2001

Please tell us which course you took and be advised that no traditional film

Let $\{G_t\}$ denote the full space of superposition in multi-configuration Hartree-Focking (MCHF) basis over the set of n electrons of the atom. Then, the minimum variance is

the more they start to experience the effects of climate change.

For risk, we considered four indicators on a scale associated with the level of risk that a particular policy or action could be a positive effect, a government risk, a regulatory risk or a market risk. We used a scale from 1 to 5, where 1 is the lowest risk and 5 is the highest risk.

100

Comment:
Add a comment.

DATE & TIME	FILE NAME	FILE SIZE	COMMENT
This folder contains all logs from the previous session.			

de parating's signed NYE (Gardenside Home, customer do not find his writing garden but as thought

Notwithstanding to the extent that the Government of the State of New York has not provided for the payment of the interest on the bonds, the bonds shall be considered by the State of New York as being in default of interest.

As per exhibit #23991, the above grant is provided to support the current endeavor.

Customer relationship deposits is shown H20 1994-95 of study, which matches with the expected annual deposit entries, on average, 18 days.

29/12/2022 2:51:44 PM

5 10 2023 1 53:32 PM
5 10 2023 1 46:32 PM

Source: KRC, "Outstanding Key," 1994. The employee of the firm who reported the fraud, was not named in the report, and is a consultant in an audit-related unit of a subsidiary from (PMA, Surveillance, Inc. 1994, p. 20). The firm is a subsidiary of a public company.

The Company replied that its 1. is well matched to French workers' needs, and its growth has benefited greatly, with a record annual turnover of 20,072,500.

[illegible]

literature, and its field back increasingly above the threshold, the present volume of death is commensurate with the cultural

1. *Abstracts should be sent to: 2027, Boulevard 193.*

1. Determine the following part of the parameter θ .
2. Self-organizing map (SOM).
3. Clustering of nodes is means of partition.
4. Self-organizing neural codes: Codes Training & Codes Retrieval.

6. (Each) statement and its negation form a *contradiction* (i.e., $\text{true} \wedge \text{false}$)

Statements A and B are *contradictory* if and only if the statement $A \wedge B$ is a contradiction. (There are lots of other ways to say this.)

addition, we do consider the value $\text{err}_{\text{MCMC}}^{\text{MCMC}}$ as

Electronic journal risk assessment. It is likely that the extended source of search is complementary with the Evaluation guide. However, researchers should

Profile Balance Ledger Deposit Address Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Test Mail Queue Notifications Activity Error Log Export Alerts/Reports Reports Referral Bonuses Withdrawals for **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID: VAM075
 MANAGER/SECURITY: 1234
 TO RISK LEVEL: Medium
 COMPLETION: 0
 LINK: [Complete/Viewing Link](#)
 VERIFICATION: Auto-Approved
 APPROVAL STATUS: **W** 12/21/2023 12:00
 CATEGORY: **W**
 NUMBER OF ATTEMPTS TO: 2
 RESET

Risk Score Update History

DATE TIME	REAL NAME	RISK SCORE	RISK LEVEL
1 30 2023 7:27:24 AM	Auto System	50	Medium
1 30 2023 7:26:12 AM	Auto System	50	Medium
1 30 2023 7:23:40 AM	Auto System	50	Medium
1 30 2023 7:24:58 AM	Auto System	50	Medium
1 30 2023 7:21:36 AM	Auto System	35	Medium
1 30 2023 7:20:53 AM	Auto System	10	Low
1 30 2023 7:19:06 AM	Auto System	10	Low
1 30 2023 7:18:23 AM	Auto System	10	Low
1 30 2023 7:17:24 AM	Auto System	10	Low
1 30 2023 7:16:36 AM	Auto System	10	Low
1 30 2023 7:15:12 AM	Auto System	10	Low
1 30 2023 7:14:40 AM	Auto System	10	Low
1 30 2023 7:14:20 AM	Auto System	10	Low
1 30 2023 7:13:43 AM	Auto System	25	Low
1 30 2023 7:13:52 AM	Auto System	0	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Consent to the document	China	25
12	Please tell us about your current employment status.	Student	5
14	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
15	Is your individual identity or business registered or conducted in offshore tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-100,000 or little of my turnover is in cash	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-100,000 or little of my turnover is in cryptocurrency	0
18	Please tell us about your turnover of crypto funds, which more than one box if necessary.	Indifference	0
19	Please tell us about your turnover of crypto funds, which more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
20	Please select the amount you plan to use, select more than one box if necessary.	< 10,000	0
21	Please select the amount you plan to use, select more than one box if necessary.	10,000 - 100,000	15
22	Please tell us whether examples the funds will be received and/or transferred from.	Bank account	0
23	Let us know what your type of experience is with cryptocurrency trading. Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you an immediate family member of a close associate, entrepreneur, or other person related, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Add Comment

Add Comment

Add Comment

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IP's Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID LNC-87PB
MANUAL RISK SCORE 0 Save
TOTAL RISK SCORE 30
RISK LEVEL LOW
COMPLIANCE ADVANTAGE 10
HITS
COMPLIANCE ADVANTAGE LINK

VERIFICATION APPROVED
APPLICATION STATUS Approved
CATEGORY Approve By: Compliance Save & Inform User
NUMBER OF ATTEMPT TO 3 Reroll
JUMO

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
01/3/2021 8:29:39 AM	Auto (System)	Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Taiwan	0
11	Citizenship on the document	Taiwan	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	提合科技工程師	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	60-90k (most of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income (funds). Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Inheritance, Employment Income	5
20	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	0
21	Let us know what your level of experience is with cryptocurrency trading? Please select one:	< 10 000	0
22	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Taiwan	0
23	How many years of experience do you have in crypto trading?	Beginner	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer. There are 13 hits against the searched English name. There are 10 hits against the searched Chinese name. All hits can be discounted due to name mismatch.

The Customer's occupation is composite materials engineer.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk (Risk Score 30).

11/9/2021 10:14:48 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID 0052815
 MANUAL RISK SCORE 60
 TOTAL RISK SCORE 60
 RISK LEVEL Medium
 COMPL. ADVANTAGE 0
 HTS
 COMPL. ADVANTAGE LINK [Complete Advantage Link](#)
 VERIFICATION Approved
 APPLICATION STATUS Approved By Compliance
 CATEGORY JUMBO
 NUMBER OF ATTEMPT TO 3
 JUMBO Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	United Kingdom	0
12	Please tell us about your current employment status.	Retired	0
13	Please tell us about your occupation or business activity.	Importer and wholesaler	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	NO	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	Yes Hong Kong	0
16	Please tell us about your annual turnover. In individual or business activity, which is handled in cash.	60-90% most of my turnover is in cash	0
17	Please tell us about your annual turnover. In individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Pension / social benefits, investments, savings	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, Employment income, Business profits	0
20	Please select the currency you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and / or transferred from.	Hong Kong New Zealand United States	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	NO	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

4/29/2021 1:19:19 PM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors according Customer Risk Model for Retail Customer:

Per Customer's reply in Zendesk, he was a green card holder until he went through official procedures with US embassy in Hong Kong and gave up his green card permanently since 2010. The reason for him to own a Bank of America account (joint account with son) is because he could support his son for college education i.e. daily expenses, and he may remit some funds to his son for further investment since he does not trust the Hong Kong government.

Based on KYC questionnaire, customer's score is 20 points. In addition, client is retired and planning to deposit USD10K - 100K annually which accounts for additional 40 points. In total, client's risk score is 60 points which is not shown in KYC questionnaire.

Screening is performed against the Customer and there is 0 hit. Overall, Senior KYC onboarding analyst [REDACTED] is of the view that the initial AML CTR assessment result is consistent with Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk - Risk Score 60.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IPs **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	WK4ANPU
MANUAL RISK SCORE	0 <input type="text"/>
TOTAL RISK SCORE	30
RISK LEVEL	Low
COMPLIANCE ADVANTAGE	3
HITS	0
COMPLIANCE ADVANTAGE LINK	Compliance Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	1
JUMBO	Reset

Risk Score Update History

DATE & TIME	FULL NAME	NOTE	RISK SCORE	RISK LEVEL
10/15/2021 10:16:52 AM	Auto (System)		30	Low
10/15/2021 10:14:50 AM	Auto (System)		30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Unemployed	0
13	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
14	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
15	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
17	Please tell us about your sources of income/funds. Select more than one box if necessary.	Savings	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Employment income	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
20	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
21	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Korea (South)	0
22	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
23		< 1 Year	0
24		No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

11:17:2021 1:11:54 PM

Based on the KYC responses, the Customer is Low Risk considering the following risk factors. Customer is Citizen of China. Screening is performed against the Customer's English and Chinese Characters and there are 14 hits and all name mismatch. Overall, Senior KYC onboarding analyst [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with Customer's profile and does not oppose the decision to onboard the Customer with Low Risk - Risk Score 30.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IPs **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID

MANUAL RISK SCORE Save

TOTAL RISK SCORE 30

RISK LEVEL Low

COMPLY ADVANTAGE 0

HITS

COMPLY ADVANTAGE LINK [Comply-Advantage Link](#)

VERIFICATION Approved

APPLICATION STATUS Approved

CATEGORY Approved By: Compliance

NUMBER OF ATTEMPT TO Reset

JUMBO

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
11/11/2021 3:08:08 PM	Auto (System)	Auto (System)	30	Low
11/11/2021 3:07:11 PM	Auto (System)	Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Singapore	0
11	Citizenship on the document	Singapore	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Technology and communications-Telecommunication	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover: in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Salary / Business Income	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment Income	0
20	Please select the service(s) you plan to use: select more than one box if necessary.	Crypto Trading, Long term investment, Participate in initial Exchange Offering	0
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Singapore	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Intermediate	0
24	How many years of experience do you have in crypto trading?	1 - 3 Years	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer with 1 hit (Chinese) and 0 hit (English). Discounted due to name mismatch.

Customer's name matches that in the ID provided. The HEX status of 'rejected' due to 'full name differs' is hence ignored.

Overall, Compliance Officer [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk 30.

11/22/2021 11:06:03 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IP's **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	5128573
MANUAL RISK SCORE	0
TOTAL RISK SCORE	46
RISK LEVEL	Medium
COMPLIANCE ADVANTAGE	0
HITS	0
COMPLIANCE ADVANTAGE LINK	Compliance Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved By Compliance
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT	7
RESET	Reset
NUMBER OF ATTEMPT	7
RESET	Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	跨境电商	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income funds. Select more than one box if necessary.	Salary / business income, loan / Credit	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment income, Business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	15
22	Please tell us which countries the funds will be received and/or transferred from.	China	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

ADD A COMMENT...

Add Comment

DATE & TIME

FILE NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:
 -Chinese citizenship per China ID (31)
 -Customer told us the funds will be received and/or transferred from China (15)
 So, the total risk rating score is 31+15=46.

After checking, the name customer inputted in system is matched with his China ID. The original status "full name differ" can be discounted. Screening is performed against the Customer. There are 2 hits against the searched Chinese name. All the potential hits are false hits as full name mismatch.

Customer is working as a surrogate driver.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML-CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (Risk score 46).

6/2/2021 10:40:06 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID 5589AQ

MANUAL RISK SCORE 0 [See](#)

TOTAL RISK SCORE 50

RISK LEVEL Medium

COMPL ADVANTAGE 2

COMPL ADVANTAGE LINK [Compl Advantage Link](#)

LINK [Compl Advantage Link](#)

VERIFICATION Approved

APPLICATION STATUS Approved

CATEGORY Approved (Compliance)

NUMBER OF ATTEMPT TO 5 [Save & Inform User](#)

STATUS [Reset](#)

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of Birth	Taiwan	0
2	Citizenship on the document	Taiwan	25
3	Please tell us about your current employment status.	Retired	0
4	Please tell us about your occupation or business activity.	Health and wellness-Health care	5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Pension / Social benefits	0
10	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Inheritance	5
11	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	0
12	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
13	Please tell us which countries the funds will be received and/or transferred from.	Taiwan	15
14	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Australia	0
15	How many years of experience do you have in crypto trading?	United States	0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
17		< 1 Year	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

[23] Citizenship: Taiwan

[15] Funds will be received and/or transferred from: Taiwan, Australia, United States. As per email #92746, customer declared she has no relationship with the US (neither a US citizen nor US tax resident). She declared that she may open a US account in the future.

[5] occupation or business activity: Health and wellness-Health care

[5] service(s) you plan to use: Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment

Screening is performed against the Customer. There are 2 hits (Chinese) and 2 hits (English) against the searched names. 2 hits are discounted due to non-AML CTR related and location difference. All the remaining hits are discounted due to name mismatch.

Overall, KYC Onboarding Analysis [REDACTED] in of the view that the Initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk SO.

#92746 SOF

6, 9/2021 10:36:56 AM

EN

EN

EN

EN

EN

EN

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID 340UMSK
MANUAL RISK SCORE 0
TOTAL RISK SCORE 36
RISK LEVEL Medium
COMPLY ADVANTAGE 0
HITS
COMPLY ADVANTAGE LINK
LINK
VERIFICATION Approved
APPLICATION STATUS Approved
CATEGORY Approved By Compliance
NUMBER OF ATTEMPT TO 1
JUMIO Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	餐饮服务	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	90-100% (all or almost all of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Salary / business income, Savings	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment income	0
20	How much do you plan to deposit per year USD and crypto combined?	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
21	Let us know what your level of experience is with cryptocurrency trading? Please select one.	< 10 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Singapore	0
23	Are you an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

5/12/2021 1:02:46 PM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:
-Chinese citizenship on per China Passport (31)
-Customer chose Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment as the services he plan to use (5)
So, the total risk rating score is 31+5=36.
Screening is performed against the Customer. There are 4 hits against the searched Chinese name and 0 hit against the English name. All the potential hits can be discounted due to image, full name mismatch.
Overall, KYC Onboarding Analysis [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with medium risk (Risk score 36).

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	AAU21UA
MANUALRISKSCORE	0 <input type="button" value="Save"/>
TOTAL RISK SCORE	10
RISK LEVEL	Low
COMPLYADVANTAGE	0
HITS	ComplyAdvantage Link
LINK	
VERIFICATION	
APPLICATION STATUS	Auto-Approved <input type="button" value="Save & Inform User"/>
CATEGORY	
NUMBER OF ATTEMPT TO	2 <input type="button" value="Reset"/>
JUMIO	

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
10/28/2021 11:57:00 AM	Auto (System)	Auto (System)	10	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	United Kingdom	0
11	Citizenship on the document	United Kingdom	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Services - Legal Services	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Salary / Business Income	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment Income	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	Please tell us which countries the funds will be received and / or transferred from.	United Kingdom	0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
23	How many years of experience do you have in crypto trading?	< 1 Year	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

NUMBER OF ATTEMPT TO JUNIO 5 Reset

INTERNAL ID	JOB#& FTS
MANUALRISKSCORE	51
TOTAL RISK SCORE	51
RISK LEVEL	Medium
CONFLUADVANTAGE HTS	12
COMPLVADVANTAGE LINK	Compliance & Security Link
VIRIFICATION APPLICATION STATUS	Approved
CATEGORY	approved By: Compliance
NUMBER OF ATTEMPT TO JUDAO	5 Reset

	QUESTIONS	ANSWERS	MCQ MARKS
0	Place of birth	China	0
1	Citizenship on the document	China	31
2	Please tell us about your current employment status.	Employed	0
3	Please tell us about your occupation or business activity.	Other services, Non-profit, charity	0
4	Please tell us about business you may conduct in other country/s besides where you are registered for tax purposes?	No	100
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (more or little of any turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in bank.	0-10K (more or little of any turnover is in cryptocurrency)	0
8	Please tell us about your sources of income, funds. Select more than one box if necessary.	Salary - business income	0
9	Please tell us about your sources of wealth. Select more than one box if necessary.	Employment income	0
10	Please select the services you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in initial Exchange Offering, Crypto payment	0
11	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	5
12	Please tell us which countries the funds will be received and/or transferred from.	China	0
13	Let us know what your level of experience is with cryptocurrency trading. Please select one	United States	15
14	How many years of experience do you have in crypto trading?	Beginner	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
16		No	0

Comment

And Comments

5/27/2021 2:06:55 PM

3/20/2011 10:11:16 AM

Notification email has been sent to the Customer due to his country of residence in China

agrees with analyst's assessment to reduce risk level from Prohibited (15) to Medium (5)

1 Major risk factor contributing to prohibited risk is that customer selects occupation category as Non-profit, changing

2. A customer explained that he an employed school teacher, when does not has any "non-profit, charity" category as he has no management power over the school. Instead, with his role related to 100.

Based on the KIC responses, the Customer is prioritizing risk considering the following risk factors

(1) *Citizenship* per *Carna* ID. (11)

(c) the escapee is other services-non-profit, charity (ICO)

(4) The funds will be received and or transferred

Customer confirmed he is not a US citizen and does not have any tax issue in US. (4/26/2023)

Scoring is performed against the Customer. There are 14 hits against the provided Chinese name. All the potential hits can be discovered due to full name and email.

Email sent to customer for clarification on the occupation and SSN (04/17/2018)

the above, certain persons might prefer, namely, persons that it is not within special

information provided by customer and the assisted world of weblogs is commensurate with the customer's growth.

This should be evidence to New Business Committee for approval

Verifications must not send to the Customer due to her country of residency in China once the application is approved.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals

Referral Bonuses Withhelded IPS **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	V9W73H5
MANUAL RISK SCORE	0 <input type="text"/> Save
TOTAL RISK SCORE	40
RISK LEVEL	Medium
COMPLYADVANTAGE	0
HTS	
COMPLYADVANTAGE LINK	ComplyAdvantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	1 <input type="text"/> Reset
JUMIO	<input type="button" value="Save & Inform User"/>

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Retired	0
13	Please tell us about your occupation or business activity.	Housewife	0
14	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Savings	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment Income	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Long term investment	0
21	Please tell us which countries the funds will be received and/or transferred from.	< 10 000	0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one:	China	15
23	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

6/10/2021 3:14:28 PM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors: Citizenship of China (25), and fund being transferred from China (15)

Screening is performed against the Customer. There are 0 hits against the searched names. In detail, they are false possible hits discounted by Full Name Not Match.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboarding the Customer with Medium Risk - 40 points.

CONFIDENTIAL

Risk Score Update History

DATE	RISK SCORE	REASON
12/21/2023 10:55 AM	20	High
12/21/2023 10:55 AM	20	High

Customer Information

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

Customer Details

NAME	DATE	STATUS
John Doe	12/21/2023 10:55 AM	Active
John Doe	12/21/2023 10:55 AM	Active

INTERNAL ID UNS08WV

MANUALRISKSCORE 0 30

TOTAL RISK SCORE 30

RISK LEVEL Low

COMPLYADVANTAGE 0

HITS

COUNTRYADVANTAGE LINK

VERIFICATION Approved

APPLICATION STATUS

CATEGORY Approved by Compliance

NUMBER OF ATTEMPT TO 1

NUMO Risked

State & Address Link

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	23
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Technology and communication- information technology	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/ funds. Select more than one box if necessary.	Salary business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investments	0
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Switzerland	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Intermediate	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME 4/27/2021 11:13:54 AM

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer's English and Chinese hits.

Email notification has also been sent to the Customer due to his country of residency in China.

Overall, Senior KYC Onboarding Analyst is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk (30).

INTERNAL ID010227TC

MANUAL RISK SCORE30

RISK LEVELLow

COMPLIANCE RATING0

COMPLIANCE RATING LINK

VERIFICATION STATUSAuto-Approved

CATEGORY

NUMBER OF ATTEMPTS TO JAWO1Reset

Do not refresh page

Risk Score Update History					
DATE & TIME	ROLL NAME	ROLL	RISK SCORE	RISK LEVEL	
3 6 2022 4 16 03 AM	Auto (System)	Auto (System)	30	Low	
1 6 2022 4 17 07 AM	Auto (System)	Auto (System)	30	Low	
1 6 2022 4 17 48 AM	Auto (System)	Auto (System)	30	Low	
1 6 2022 4 17 14 AM	Auto (System)	Auto (System)	30	Low	
1 6 2022 4 18 58 AM	Auto (System)	Auto (System)	30	Low	
1 6 2022 4 18 16 AM	Auto (System)	Auto (System)	25	Low	
1 6 2022 4 18 02 AM	Auto (System)	Auto (System)	25	Low	
1 6 2022 4 18 09 AM	Auto (System)	Auto (System)	25	Low	
1 6 2022 4 18 51 AM	Auto (System)	Auto (System)	25	Low	
1 6 2022 4 18 16 AM	Auto (System)	Auto (System)	25	Low	
1 6 2022 4 20 28 AM	Auto (System)	Auto (System)	28	Low	
1 6 2022 4 20 01 AM	Auto (System)	Auto (System)	0	Low	

KYC Questionnaire

QUESTION SORT ORDER

QUESTION

ANSWER

RISK RATING

10

Place of Birth

China

0

25

11

Citizenship on the document

Employed

0

0

12

Please tell us about your current employment status.

无业、无业证明、兼职或全职

0

0

13

Please tell us about your occupation or business activity.

No

0

0

14

Please tell us about business you may conduct in other countries besides where you are registered for tax purposes?

No

0

0

15

Is your individual activity or business registered or conducted in preferred tax zone (for instance, free economic zone, offshore jurisdiction)?

No

0

0

16

Please tell us about your annual turnover, in individual or business activity, which is handled in cash.

< 100,000 or more if any turnover is in cash

0

0

17

Please tell us about your sources of income funds. Select more than one box if necessary.

< 100,000 or more if any turnover is in cryptocurrency

0

0

18

Please tell us about your sources of income funds. Select more than one box if necessary.

Salary, business income, Savings

0

0

19

Please tell us about your sources of income funds. Select more than one box if necessary.

Employment income, Business profits

0

0

20

Please select the services you plan to use. Select more than one box if necessary.

Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment

0

0

21

How much do you plan to deposit per year (USD and crypto combined)?

< 10,000

0

0

22

Please tell us which countries the funds will be received and/or transferred from.

Taiwan

0

0

23

Let us know what your level of experience is with cryptocurrency trading? Please select one.

Beginner

0

0

24

Are you, an immediate family member or a close associate, affiliated, or have been associated, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.

No

0

0

Comment

ADD A COMMENT

Add Comment

DATE & TIME

ROLL NAME

ROLL

DOB offers Customer input

COMMENT

1 15 2022 12 07 58 PM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	KL2BW
MANUAL RISK SCORE	56
TOTAL RISK SCORE	56
RISK LEVEL	Medium
COUNTRY ADVANTAGE	0
HITS	0
COUNTRY ADVANTAGE LINK	Country Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved By: Consensus
CATEGORY	Save & Inform User
NUMBER OF ATTEMPT TO JUMIO	3 Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Retired	0
13	Please tell us about your occupation or business activity.	Other services-farming activity	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% (none or little of my turnover is in cash)	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cryptocurrency)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Pension / Social Benefits, Savings	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Initialance, Property sale	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
20	Please select the services you plan to use. Select more than one box if necessary.	< 10 000	0
21	How much do you plan to deposit per year (USD and crypto combined)?	China	15
22	Please tell us which countries the funds will be received and/or transferred from.	China	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FILE NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

- Client's Citizenship per China ID (A1)
- The occupation is Other services-farming activity (5)
- Customer chose Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment as the services she plan to use (5)
- Customer told us the funds will be received and/or transferred from China (15)
- So, the total risk rating score is 31+5+5+15=56.

Screening is performed against the Customer. There is 1 hit against the searched Chinese name and 0 against the English name. The potential hit are also hit as full name mismatch.

Overall, KYC Onboarding Analysis [REDACTED] is of the view that the initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with medium risk (risk score 56).

Notification email has been sent to the Customer due to her country of residency in China.

12/27/2021 10:11:14 AM

INTERNAL ID631502Q

MANUAL RISK SCORE0

TOTAL RISK SCORE46

RISK LEVELMedium

COMPLY ADVANTAGE HITS100

COMPLY ADVANTAGE LINK[Comply Advantage Link](#)

VERIFICATION LINKApproved

VERIFICATION STATUSApproved

CATEGORYApproved By: Compliance

NUMBER OF ATTEMPT TO 7

NUMBER OF ATTEMPT TO 7

Reset

Save & Inform User

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Unemployed	0
4	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
8	Please tell us about your sources of income (funds). Select more than one box if necessary.	Salary / business income	0
9	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment income	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investment, Participate in Initial Exchange Offering	0
11	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	United Arab Emirates	15
13	Let us know what your level of experience is with cryptocurrency trading? Please select one.	China	0
14	How many years of experience do you have in crypto trading?	Beginner	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
16		No	0

Comment

Add a comment...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
5/5/2021 2:13:21 PM			[Updated by KYC Onboarding Analyst, ██████████] She is a China national residing in UAE (31) No email notification will be sent to the Customer due to her country of residency after approval. Based on the KYC responses, the Customer is medium risk considering the following risk factors: She is a China national residing in China (31) Her origin of funds include UAE and China (15) Screening is performed against the Customer's Chinese and English names. There are 200 hits against the searched names and the potential hits are discounted as age mismatch, no AML/CTF related and customer declared that she is not a member of the Local Council or Standing Committee of Daxing Municipal People's Congress of China (1674). Other hits are discounted due to name mismatch. Email notification will be sent to the Customer due to her country of residency after approval.
5/20/2021 2:11:59 PM			Overall, KYC Onboarding Analyst, ██████████ is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (46).

INTERNAL ID E1729L

MANUAL RISK SCORE 0 Save

TOTAL RISK SCORE 56

RISK LEVEL Medium

COMPLY ADVANTAGE 0

HITS

COMPLY ADVANTAGE LINK [Comply Advantage Link](#)

VERIFICATION Approved

APPLICATION STATUS

CATEGORY Approves By: Compliance

NUMBER OF ATTEMPT TO 6

JUMBO

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0--10% (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in crypto.	0--10% (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income, investments, savings	0
10	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, employment income, business profits	0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
12	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	15
13	Please tell us which countries the funds will be received and/or transferred from.	China	0
14	Let us know what your level of experience is with cryptocurrency trading. Please select one:	Beginner	0
15	How many years of experience do you have in crypto trading?	1 - 3 years	0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

ADD A COMMENT...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

8/24/2021 8:24:36 AM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

- Chinese Citizenship per China ID (31)
- The occupation is technology and communications-information technology (5)
- Customer chose Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment as the services he plan to use (5)
- Customer told us the funds will be received and/or transferred from China (15)

So, the total risk rating score is 31+5+5+15=56.

After checking, the name customer inputted in system is matched with his China ID. The original status "full name differ" can be discounted.

Screening is performed against the Customer. There is no hit against the searched Chinese name.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk [Risk score 56].

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	C850850
MANUAL RISK SCORE	0 Save
TOTAL RISK SCORE	36
RISK LEVEL	Medium
COMPLY ADVANTAGE	2
HITS	4
COMPLY ADVANTAGE LINK	ComplyAdvantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved by Compliance
NUMBER OF ATTEMPT TO	1 Save & Inform User
JUNIO	Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.		0
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income: funds. Select more than one box if necessary.	工资	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment Income	0
11	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Crypto payment	5
12	Please tell us which countries the funds will be received and/or transferred from.	< 10 000	0
13	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Singapore	0
14	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
15		< 1 Year	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

1. Chinese citizenship per PIC passport
2. Selected services include Crypto Trading & Crypto Payment

Screening is performed against the customer. There are 2 hits against the searched English name. There are 4 hits against the searched Chinese name.

All hits are discounted as NAME MISMATCH, DOB MISMATCH, ADVERSE MEDIA UNRELATED TO AML/CTF.

Overall, Senior KYC Onboarding Analyst: [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk [36].

5/10/2021 10:39:28 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IP: **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	KGCHVN
MANUAL RISK SCORE	0 <input type="text"/> Save
TOTAL RISK SCORE	45
RISK LEVEL	Medium
COMPLY ADVANTAGE	0
HTS	
COMPLY ADVANTAGE LINK	Comply Advantage Link
VERIFICATION APPLICATION STATUS	Auto-Approved
CATEGORY	▼ Bank & Inform User
NUMBER OF ATTEMPT TO	1
RESET	Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
3/15/2023 3:05:41 PM		Auto (System)	45	Medium

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	加密货币	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	China	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
25		No	0

Comment

350 8 COMMENTS...

Add Comment

INTERNAL ID
CNF1PAS

MANUALRISKSCORE
0

TOTAL RISK SCORE
5

RISK LEVEL
Low

COMPLYADVANTAGE
0

HITS
0

COMPLYADVANTAGE LINK
ComplyAdvantage Link

VERIFICATION
Auto-Approved

APPLICATION STATUS
CATEGORY

NUMBER OF ATTEMPT TO
JUMIO 2

Reset

Save & Inform User

Save

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Hong Kong	0
11	Citizenship on the document	United Kingdom	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Trade - Trade in means of transport	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	United Kingdom	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

Transaction Monitoring Security Question Supporting Documents

INTERNAL ID

MANUALRISKSCORE

TOTAL RISK SCORE

RISK LEVEL

COMPLIANCE/ADVANTAGE

HTS

COMPLIANCE/ADVANTAGE LINK

VERIFICATION

APPLICATION STATUS

CATEGORY

NUMBER OF ATTEMPT TO

JIDMO

2

Reset

Send a system link

Risk Score Update History

DATE & TIME	FILE NAME	NOTE	RISK SCORE	RISK LEVEL
7/14/2023 10:19:12 AM	Auto (System)	Auto (System)	15	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	/JSPK18	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0--10% (none or little of my turnover is in cash)	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0--10% (none or little of my turnover is in cryptocurrency)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary / business income	0
18	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Employment income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Crypto Trading, Long term Investment, Participate in Initial Exchange Offering, Crypto payment	6
20	Please select this service(s) you plan to use. Select more than one box if necessary.	10 000 100 000	0
21	How much do you plan to deposit per year (USD and crypto combined)?	China	0
22	Please tell us which countries the funds will be received and/or transferred from.	Beginner	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	< 1 Year	0
24	How many years of experience do you have in crypto trading?	No	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.		

Comment

Add a comment...

Add Comment

INITIALS	211790	***
MODIFICATION		
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APPROX. NEW STATUS		
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NUMBER OF ATTEMPT TO	4	
STATUS		

Approved, Complete

10-1-1994

KYC Questionnaire

	Strongly Dislike	Dislike	Neutral	Like	Strongly Like
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Comment

Add Comment

1

23/2022 4:04:22 PM

6/20/21 9:59 AM

2021 9:55:09 AM

5/20/21 9:07:43 AM

2021 2 23 09 PM

5

9

2

Field: Address: 14747 Pacific Highway, Richmond, California 94804, United States, North America, California, San Francisco, 94115, 415-555-1234, [Redacted]
 Identification: [Redacted] (SSN) [Redacted] (DOB) [Redacted] (M) [Redacted] (D) [Redacted] (Y)

INITIALS: [Redacted]
 TOTAL SCORE: 82
 CORRECT: 1
 INCORRECT: 0
 COMPLETED: 1
 VERIFICATION: [Redacted]
 VERIFICATION STATUS: [Redacted]
 VERIFICATION TYPE: [Redacted]
 VERIFICATION DATE: [Redacted]
 VERIFICATION TIME: [Redacted]
 VERIFICATION LOCATION: [Redacted]
 VERIFICATION METHOD: [Redacted]
 VERIFICATION RESULT: [Redacted]
 VERIFICATION COMMENT: [Redacted]

Task Score Update History

NYC Questionnaire

1. Name of the business: [Redacted]
 2. Address: [Redacted]
 3. City: [Redacted]
 4. State: [Redacted]
 5. Zip: [Redacted]
 6. Phone: [Redacted]
 7. Email: [Redacted]
 8. Website: [Redacted]
 9. Business Type: [Redacted]
 10. Number of Employees: [Redacted]
 11. Date of Establishment: [Redacted]
 12. Date of Last Update: [Redacted]
 13. Date of Next Update: [Redacted]
 14. Date of Previous Update: [Redacted]
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Comments

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12/22/2022 10:23 AM

12/22/2022 10:23 AM

12/22/2022 10:23 AM

12/22/2022 10:23 AM

12/22/2022 10:23 AM

The following information was provided by the respondent:

1. [Redacted]
 2. [Redacted]
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INTERNAL ID 0 BUSA1J

MANUAL RISK SCORE 56

TOTAL RISK SCORE 56

RISK LEVEL Medium

COMPL ADVANTAGE 100

HITS

COMPL ADVANTAGE LINK [ComplAdvantage Link](#)

LINK

VERIFICATION Approved

APPLICATION STATUS Approved

CATEGORY

NUMBER OF ATTEMPT TO 3

RESET

See a report here

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK FACTOR
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications information technology	3
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Please tell us about individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10k (none or little of my turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cryptocurrency)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary / business income, investments, savings	0
9	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Property sale, employment income	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto trading, long term investment, participate in initial exchange offering, crypto payment	5
11	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	Australia	15
13	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Singapore	0
14	How many years of experience do you have in crypto trading?	United States	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
16		< 1 Year	0
17		No	0

Comment

Add a comment...

DATE & TIME	RISK NAME	RISK	COMMENT
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Screening QA is performed against the Customer. There are 100 hits against the searched English name. There are 100 hits against the searched Chinese name. All hits are disclosed as NAME MISMATCH, DOB MISMATCH, OCCUPATIONAL BACKGROUND MISMATCH, PHOTO MISMATCH, COUNTRY OF RESIDENCE MISMATCH, ADVERSE MEDIA UNRELATED TO AML, CTF, or CORPORATES.

Senior KYC Onboarding Analyst [REDACTED] emailed the Customer clarification regarding US funds via Zendesk #55673 on 18 May.

The Customer cited traveling & investment as primary justifications for transaction with US account.

Senior KYC Onboarding Analyst [REDACTED] emailed the Customer notification regarding Chinese Residence via Zendesk #54066 on 18 May.

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

1. Chinese citizenship per PRC Passport

2. Occupation of Information Technology

3. Selected services include Crypto Trading, Participate in Initial Exchange Offering, Crypto Payment

4. Funds received and/or transferred from Australia, Singapore, US

Screening is performed against the Customer. There are 100 hits against the searched English name. There are 100 hits against the searched Chinese name.

All hits are disclosed as NAME MISMATCH, DOB MISMATCH, OCCUPATIONAL BACKGROUND MISMATCH, ADVERSE MEDIA UNRELATED TO AML, CTF, or CORPORATES.

Overall, Senior KYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk PS.

18 2023 1 02:55 PM

INTERNAL ID

MANUALRISKSCORE

TOTAL RISK SCORE

RISK LEVEL

COMPLYADVANTAGE

HITS

COMPLYADVANTAGE LINK

VERIFICATION

APPLICATION STATUS

CATEGORY

NUMBER OF ATTEMPT TO

STATUS

DATE & TIME

FULL NAME

Auto (System)

Auto (System)

ROLE

RISK SCORE

RISK LEVEL

RISK RATING

Risk Score Update History

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
0	Place of birth	China	0
1	Citizenship on the document	Singapore	25
2	Please tell us about your current employment status.	Employed	0
3	Please tell us about your occupation or business activity.	Water supply	0
4	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	90-100K (all or almost all of my turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-100K (none or little of my turnover is in cryptocurrency)	0
8	Please tell us about your sources of income. Funds. Select more than one box if necessary.	Salary / Business income	0
9	Please tell us about your sources of wealth. Select more than one box if necessary.	Employment income	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
11	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	Singapore	0
13	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
14	How many years of experience do you have in crypto trading?	< 1 Year	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses Whitelisted IP's **Risk Scoring**

INTERNAL ID WCAUHQD
MANUAL RISK SCORE 51
TOTAL RISK SCORE 51
RISK LEVEL Medium
COMPLY/ADVANTAGE 0
MITS
COMPLY/ADVANTAGE LINK
VERIFICATION Approved
APPLICATION STATUS Approved
CATEGORY Approved By: Compliance
NUMBER OF ATTEMPT TO 1
JUMBO Revert

Save & Return Later

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Unemployed	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity of business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income (funds). Select more than one box if necessary.	Investments	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Property sale	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in initial exchange offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Russia	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	RISK	COMMENT
6/23/2021 10:50:39 AM			There is 3 hit against the searched born for English and Chinese name. All discount as crime mismatch based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

1. Chinese citizenship per RUC passport [31]
2. Selected services include Crypto Trading, Long Term Investment, Participate in initial Exchange Offering, Crypto payment [5]
3. Funds received and/or transferred from Russia [15]
4. Funds received and/or transferred from Russia [15]

Screening is performed against the Customer.

There is 0 hit against the searched born for English and Chinese name.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk [51].

5/20/2021 4:23:18 PM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Traders Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	PHUB20
MANUAL RISK SCORE	0 See
TOTAL RISK SCORE	15
RISK LEVEL	Low
COMPLY ADVANTAGE HTS	0
COMPLY ADVANTAGE LINK	Crypto Advantage Link
VERIFICATION	Auto-Approved
APPLICATION STATUS	See & Inform User
CATEGORY	
NUMBER OF ATTEMPT TO JUMO	2 Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	Australia	0
12	Please tell us about your current employment status.	Owner of legal entity	5
13	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k income or little of my turnover is in cash	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k income or little of my turnover is in cryptocurrency	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income, business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Australia	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

[Add a comment...](#)

[Add Comment](#)

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

Transaction Monitoring Security Question Supporting Documents

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
0	Place of birth	China	0
1	Citizenship on the document	China	31
2	Please tell us about your current employment status.	Employed	0
3	Please tell us about your occupation or business activity.	#655	0
4	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% (none or little of my turnover is in cash)	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cryptocurrency)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in crypto.	Salary / business income, investments, savings	0
8	Please tell us about your source(s) of income, funds. Select more than one box if necessary.	Employment income	5
9	Please select the source(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in initial exchange offering, Crypto payment	0
10	Please select the source(s) you plan to use. Select more than one box if necessary.	Crypto 100 000	0
11	How much do you plan to deposit per year (USD and crypto combined)?	United States	15
12	Please tell us which countries the funds will be received and/or transferred from.	China	0
13	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Switzerland	0
14	How many years of experience do you have in crypto trading?	Beginner	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0

Comment

Add 3 comments...

Add Comment

DATE & TIME

FULL NAME

RAC

COMMENT

5/9/2023 2:14:28 PM

Based on the KYC responses, the Customer is Medium risk considering the following risk factors:

He is a China national residing in China.

His planned usage include crypto trading, long term investment, arbitrage trading, participate in initial exchange offering and crypto payment.

His origin of funds include US, China and Switzerland.

Enquiry was sent to Customer via Zendesk due to hit edge of funds from US.

He declared that he does not have any form of US identity- US indicia is explained.

Screening is performed against the Customer's Chinese and English names.

There is 1 hit against the searched names and the hit is discounted due to name mismatch.

Overall, KYC Onboarding Analyst: [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk(31).

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

INTERNAL ID KYORCL

MANUAL RISK SCORE 41

TOTAL RISK SCORE 41

RISK LEVEL Medium

COMPLY ADVANTAGE 0

COMPLY ADVANTAGE LINK [ComplyAdvantage Link](#)

VERIFICATION Approved

APPLICATION STATUS Approved By Compliance

CATEGORY Approved By Compliance

NUMBER OF ATTEMPT TO 7

RESET

See a Uniform User

KYC Questionnaire

QUESTION ID	QUESTION	ANSWER	RISK RATING
10	Place of Birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Self-employed	5
13	Please tell us about your occupation or business activity.	Services- Tourism and travel agencies activities	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Salary / business income	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Business profits	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Long term investment	0
21	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	10 000-100 000	0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one	Australia	0
23	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role of a senior military position, at home or overseas.	Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

RISK

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors.

1. Chinese Citizenship as per inputted by the customer [31]
2. Self-employed status [5]
3. Business activity is Services- Tourism and travel agencies activities [5]

Screening is performed against the Customer. There are 3 hits against the searched names. All hits can be discounted due to the Name Mismatch.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (Risk Score: 41).

6/9/2021 10:45:44 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	72417NFX
MANUAL SCORE	51
TOTAL RISK SCORE	51
RISK LEVEL	Medium
COMP/ADVANTAGE	100
HITS	
COMP/ADVANTAGE LINK	Comp/Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved By Compliance
CATEGORY	3
NUMBER OF ATTEMPT TO	3
JUDG	Repeat

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Editor	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (income or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (income or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income funds. Select more than one box if necessary.	Salary / business income, investments, savings	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, Employment income, business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	China	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	NOTE	COMMENT
-------------	-----------	------	---------

5/11/2021 10:12:55 AM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

(3) Citizenship: China

(17) funds will be received and/or transferred from: China

(21) services you plan to use - Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment

Screening is performed against the Customer. There are 100 hits against the searched names. 98 hits are discounted due to name mismatch. 2 hits are discounted because there were no related result by using name and ID to search in the database provided in the link.

Notification email has been sent to the Customer due to his country of residency in China.

Overall, KYC Onboarding Analysis: [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk 51.

INTERNAL ID	SECTION
MANUALRISKSCORE	0
TOTAL RISK SCORE	25
RISK LEVEL	Low
COMPL/ADVANTAGE	0
HITS	
COMPL/ADVANTAGE LINK	Compl/Advantage Link
VERIFICATION	Auto-approved
APPLICATION STATUS	
CATEGORY	
NUMBER OF ATTEMPT TO	4
JUDAO	Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
1/26/2022 1:30:17 PM	Auto (System)	Auto (System)	25	Low
1/26/2022 1:29:57 PM	Auto (System)	Auto (System)	25	Low
1/26/2022 1:29:11 PM	Auto (System)	Auto (System)	25	Low
1/26/2022 1:28:25 PM	Auto (System)	Auto (System)	25	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.		0
14	Please tell us about your business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	90-100k (all or almost all of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	TRADE	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Korea (South)	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government job, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
1/1/2023 10:20:28 AM			Full name mismatch with ID, Customer input: [REDACTED]

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Explore Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

INTERNAL ID MCD9831

MANUALRISKSCORE 0

TOTAL RISK SCORE 6

RISK LEVEL Low

COMPLYADVANTAGE 0

HTS

Crypto Advantage Link

VERIFICATION

APPLICATION STATUS Auto-Approved

CATEGORY

NUMBER OF ATTEMPT TO 2

RETRY

Save & Inform User

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
3/1/2023 4:40:08 PM	Auto (System)	Auto (System)	6	Low
3/1/2023 4:38:28 PM	Auto (System)	Auto (System)	0	Low
3/1/2023 4:37:45 PM	Auto (System)	Auto (System)	0	Low

KYC Questionnaire

QUESTION START ORDER	QUESTION	ANSWER	RISK RATING
12	Please tell us about your current employment status.	Self-employed	0
13	Please tell us about your occupation or business activity.		0
18	Please tell us about your sources of income. funds. Select more than one box if necessary.	Salary, business income	0
19	Please tell us about your sources of wealth. Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto Payment	6
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Hong Kong	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

Profile Balances Ledger Deposits Deposit addresses Withdrawals Redemption Limits Withdrawal addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses Whitelisted IP's **Risk Scoring**

INTERNAL ID CODE22? Save

MANUAL RISK SCORE TOTAL RISK SCORE 3

RISK LEVEL Low

COUNTRY ADVANTAGE 60

LINK [Country Advantage Link](#)

VERIFICATION Approved

APPLICATION STATUS Approved By: Compliance

CATEGORY Save & Return Link

NUMBER OF ATTEMPT TO 1 Report

KYC Questionnaire

QUESTION CODE ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	China	0
11	Citizenship on the document	Australia	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Health and wellness-Health care	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in crypto.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the services you plan to use. Select more than one box if necessary.	Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
22	Please tell us which countries the funds will be received and / or transferred from.	Australia	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME 5/12/2023 9:33:31 AM

FULL NAME

ROLE

COUNTRY

Based on the KYC response, the Customer is considered Low Risk. Screening is performed against the Customer with 53 hits. 02 are discounted due to name mismatch. 1 hit is discounted by using name and ID number to search in the link provided, no relevant result is shown.

Overall, KYC Onboarding Analysis is of the view that the initial AML, CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk 5.

INTERNAL ID	SCORE
MANUALSCORE	0
TOTAL RISK SCORE	55
RISK LEVEL	Medium
COMPLIANCE ADVANCE	50
HTS	
COMPLIANCE ADVANCE	
LINK	
VERIFICATION STATUS	Approved
CATEGORY	Approved by Compliance
NUMBER OF ATTEMPT TO	Reset
JUNIO	5

[illegible]

	Page of birth	Citizenship or the document	China	0
10			China	0
11		Outstanding on the document	China	25
12		Pleas tell us about your current employment status.	Self-employed	5
13		Pleas tell us about your occupation or business activity.	Servants-Tourism and travel agencies activities	5
14		Pleas tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
15		Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16		Pleas tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
17		Pleas tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18		Pleas tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income	0
19		Pleas tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income, Business profits	0
20		Please select the services you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investments, Arbitrage Trading, Crypto payment	5
21		How much do you plan to deposit per year USD and crypto combined?	10 000 100 000	0
22		Pleas tell us which countries the funds will be received and/or transferred from.	China	15
23		Let us know what your level of experience is with cryptocurrency trading? Please select one how many years of experience do you have in crypto trading?	Beginner	0
24		Are you an immediate family member or a close associate, affiliated, or have been affiliated, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
25			No	0

Add a Comment...

Add Comment

1

12/17/2021 8:19:15 PM

DATE & TIME

Full Name

NOTE

COMMIT

- Full Name matches with jmeno record
- DOB matches with jmeno record
- Full address provided
- Origin of Funds from U.S., Canada, Japan – Nil
- No connection to any Unsanctioned Country
- Disclosing of Screening Hits – zero English and Chinese name search hit.

INTERNAL ID

LO7DOLW

MANUAL RISK SCORE

5

TOTAL RISK SCORE

Low

RISK LEVEL

Low

COMPLY ADVANTAGE

1

HITS

1

COMPLY ADVANTAGE LINK

ComplyAdvantage Link

VERIFICATION

Approved

APPLICATION STATUS

Approved

CATEGORY

Approved By Compliance

NUMBER OF ATTEMPT TO JUMIO

1

Reset

3 x 6 6 Month View

Risk Score Update History

DATE & TIME	FULL NAME	RISK	RISK SCORE	RISK LEVEL
3/13/2022 12:29:17 PM	Auto System	Auto System	5	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK MATCH
10	Place of Birth	China	0
11	Citizenship on the document	Australia	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	real estate agent	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your residential activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (more or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (more or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income. Select more than one box if necessary.	Salary / Business income, Investments	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Property sale	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year USD and crypto combined?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Australia	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add 8 Comments...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
3/14/2022 3:05:08 PM			Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer with 8 hits. All hits can be discounted due to name mismatch. Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk [RISK SCORE 5].